

Report No.: LCS201014048AR Date: 2020.12.02 Page 1 of 14 Applicant : NEKO LIGHTING AG Address : Kreuzstrasse 2, CH-8008 Zürich, Switzerland Report on the submitted samples said to be: : Downlight System Sample Name Trade Mark T75-7.5W、T75-11W、TS65-7.5W、TS65-11W、ERF100-13W、ERFT100-13W、 ESF100-13W、ESFT100-13W、ERF100-18W、ERFT100-18W、ESF100-18W、 Style No. : ESFT100-18W、T100-18W、T100L-12W、T150L-18W、FF1-2W、FX4-9W、 SIGN35-G、SIGN35-H、SIGN45-G、SIGN45-H、Twins-R-4W、Twins-S-4W、 Eyeball-2W、M4-9W、M4-13W、M3-7.5W、M3-11W **Testing Period** : November 30, 2020 ~ December 02, 2020 Results : Please refer to next page(s).

TEST REQUEST	CONCLUSION
According to the customer's request, based on the performed tests on submitted sample, the result of Lead(Pb), Cadmium(Cd), Mercury(Hg), Hexavalent Chromium(Cr(VI)), PBBs, PBDEs, Dibuyl Phthalate(DBP), Benzylbutyl Phthalate(BBP), Bis(2-ethylhexyl) Phthalate(DEHP), Diispbutyl phthalate(DIBP) content comply with the limit requirement as set of RoHS Directive (EU) 2015/863 amending Annex II to Directive 2011/65/EU.	Pass

Signed for and on behalf of LCS







Report No.: LCS201014048AR

Date: 2020.12.02

Page 2 of 14

Results:

A.EU RoHS Directive 2011/65/EU and its amendment directives on XRF

Test method: With reference to IEC 62321-3-1:2013, Screening by X-ray Fluorescence Spectroscopy (XRF)

Seq. No.	Tested Part(s)	~		Res			Date of sample	
		Cd	Pb	Hg	Cr▼	(KE	Br	submission/resu
		Cu	FD	Tig		PBBs	PBDEs	bmission
1	White apron	BL	BL	BL	BL	BL	BL	2020-10-27
2	White plastic sheet	BL	BL	BL	BL	BL	BL	2020-10-27
3	Transparent plastic sheet	BL	BL	BL	BL	BL	BL	2020-10-27
4	White plastic sheet	BL	BL	BL	BL	BL	BL	2020-10-27
5	Black plastic sheet	BL	BL	BL	BL	BL	BL	2020-10-27
6	Silver metal screw	BL	BL	BL	BL	1	1	2020-10-27
7	White coated sheet metal	BL	BL	BL	BL	/) /	2020-10-27
8	Silver sheet metal	BL	BL	BL	BL	/	1	2020-10-27
9	Yellow LED	BL	BL	BL	BL	BL	BL	2020-10-27
10	tin solder	BL	BL	BL	BL	/	1	2020-10-27
11	Silver label	BL 🔇	BL	BL	BL	BL	BL 🔇	2020-10-27
12	Black plastic sheet	BL	BL	BL	BL	BL	BL	2020-10-27
13	Silver sheet metal	BL	BL	BL	BL	/	/	2020-10-27
14	Black plastic sheet	BL	BL	BL	BL	BL	BL	2020-10-27
15	Silver metal needle	BL	BL	BL	BL	/	1	2020-10-27
16	Black plastic wire sheath	BL	BL	BL	BL	BL	BL	2020-10-27
17	Red plastic sheath	BL	BL	BL	BL	BL	BL	2020-10-27
18	Black plastic sheath	BL	BL	BL	BL	BL	BL	2020-10-27
19	Copper wire	BL	BL	BL	BL	1	1 (2020-10-27
20	White plastic sheet	BL	BL	BL	BL	BL	BL	2020-10-27
21	Blue plastic sheath	BL	BL	BL	BL	BL	BL	2020-10-27
22	Copper wire	BL	BL	BL	BL	1	1	2020-10-27
23	Grey plastic sheet	BL	BL	BL	BL	BL	BL	2020-10-27
24	Silver sheet metal	BL	BL	BL	BL	/	/	2020-10-27
25	Black plastic sheet	BL	BL	BL	BL	BL	BL	2020-10-27

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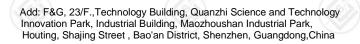


Date: 2020.12.02

Page 3 of 14

Seq.	Tested Part(s)	6	Results									
Seq. No.		Cd	Pb	Hg	Cr▼	E	Br [▼]	Date of sample submission/resu				
		Cu		iig	G	PBBs	PBDEs	bmission				
26	Red wire	BL	BL	BL	BL	/	/	2020-10-27				
27	Grey ceramics	BL	BL	BL	BL	BL	BL	2020-10-27				
28	Yellow tape	BL	BL	BL	BL	BL	BL	2020-10-27				
29	Grey ceramics	BL	BL	BL	BL	BL	BL	2020-10-27				
30	Red wire	BL	BL	BL	BL	/	/	2020-10-27				
31	Black plastic sheet	BL	BL	BL	BL	BL	BL	2020-10-27				
32	Blue plastic sheet	BL	BL	BL	BL	BL	BL	2020-10-27				
33	Silver sheet metal	BL	BL	BL	BL	/	/	2020-10-27				
34	Black plastic ring	BL	BL	BL	BL	BL	BL	2020-10-27				
35	Silver sheet metal	BL	BL	BL	BL	1	1	2020-10-27				
36	Wet yellow paper	BL	BL	BL	BL	BL	BL	2020-10-27				
37	Grey sheet metal	BL	BL	BL	BL	/	/	2020-10-27				
38	Silver metal needle	BL	BL	BL	BL	/	/	2020-10-27				
39	Red wire	BL	BL	BL	BL	/	1	2020-10-27				
40	Gold wire	BL	BL	BL	BL	1	1 8	2020-10-27				
41	Green ceramic ring	BL	BL	BL	BL	BL	BL	2020-10-27				
42	Red plastic sheet	BL	BL	BL	BL	BL	BL	2020-10-27				
43	Black plastic sheet	BL	BL	BL	BL	BL	BL	2020-10-27				
44	Silver sheet metal	BL	BL	BL	BL			2020-10-27				
45	Blue capacitor	BL	BL	BL	BL	BL	BL	2020-10-27				
46	Black IC	BL	BL	BL	BL	BL	BL	2020-10-27				
47	Grey capacitor	BL	BL	BL	BL	BL	BL	2020-10-27				
48	chip resistor	BL	BL	BL	BL	BL	BL	2020-10-27				
49	Brown capacitor	BL	BL	BL	BL	BL	BL	2020-10-27				
50	Black diode	BL	BL	BL	BL	BL	BL	2020-10-27				

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Date: 2020.12.02

Page 4 of 14

-			Results								
Seq. No.	Tested Part(s)	04	Pb	Hg		E	Br▼	Date of sample submission/resu			
110.		Cd			Cr▼	PBBs	PBDEs	bmission			
51	Black IC	BL	BL	BL	BL	BL	BL	2020-10-27			
52	tin solder	BL	BL	BL	BL	1	>) /	2020-10-27			
53	PCB board	BL	BL	BL	BL	BL	BL	2020-10-27			
54	Silver metal screw	OL	BL	BL	BL	/	/	2020-10-27			
55	Silver metal screw	BL	BL	BL	BL	/	1	2020-10-27			

Note:

(1) Results were obtained by XRF for primary screening, and further chemical testing by ICP (for Cd, Pb, Hg), UV-Vis (for Cr(VI)) and GC-MS (for PBBs, PBDEs) are recommended to be performed, if the concentration exceeds the below warning value according to IEC 62321-3-1:2013.

Element	Unit	Non-metal	Metal	Composite Material
Cd	mg/kg	BL≤70-3σ <x <130+3σ≤OL</x 	BL≤70-3σ <x <130+3σ≤OL</x 	BL≤50-3σ<Χ <150+3σ≤OL
Pb	mg/kg	BL≤700-3σ <x <1300+3σ≤OL</x 	BL≤700-3σ <x <1300+3σ≤OL</x 	BL≤500-3σ<Χ <1500+3σ≤OL
Hg	mg/kg	BL≤700-3σ <x <1300+3σ≤OL</x 	BL≤700-3σ <x <1300+3σ≤OL</x 	BL≤500-3σ<Χ <1500+3σ≤OL
Cr	mg/kg	BL≤700-3σ<Χ	BL≤700-3σ<Χ	BL≤500-3σ<Χ
Br	mg/kg	BL≤300-3σ <x< td=""><td>- 6</td><td>BL≤250-3σ<Χ</td></x<>	- 6	BL≤250-3σ<Χ

Note:

BL = Below Limit

OL = Over Limit

X = Inconclusive

- (2) The XRF screening test for RoHS elements The reading may be different to the actual content in the sample be of non-uniformity composition.
- (3) The maximum permissible limit is quoted from the document 2015/863/EC amending RoHS directive 2011/65/EU:
- (4) ▼=For restricted substances PBBs and PBDEs, the results show the total Br content; The restricted substance was Cr(VI), and the results showed the total Cr content









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Report No.: LCS201014048AR Date: 2020.12.02 Page 5 of 14 Maximum Concentration Value (mg/kg) **RoHS Restricted Substances** (by weight in homogenous materials) Cadmium (Cd) 100 Lead (Pb) 1000 Mercury (Hg) 1000 Hexavalent Chromium (Cr(VI)) 1000 Polybrominated biphenyls (PBBs) 1000 Polybrominated diphenylethers (PBDEs) 1000 Dibuyl Phthalate(DBP) 1000 Benzylbutyl Phthalate(BBP) 1000 Di-(2-ethylhexyl) Phthalate(DEHP) 1000 Diispbutyl phthalate(DIBP) 1000

Disclaimers:

This XRF Screening report is for reference purposes only. The applicant shall make its/his/her own judgment as to whether the information provided in this XRF screening report is sufficient for its/his/her purposes.

The result shown in this XRF screening report will differ based on various factors, including but not limited to, the sample size, thickness, area, surface flatness, equipment parameters and matrix effect (e.g. plastic, rubber, metal, glass, ceramic etc.). Further wet chemical pre-treatment with relevant chemical equipment analysis are required to obtain quantitative data.



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Report No.: LCS201014048AR

Date: 2020.12.02

Page 6 of 14

B. EU RoHS Directive 2011/65/EU and its amendment Directives 2015/863/EU on Lead, Cadmium, Mercury, Hexavalent Chromium, PBBs, PBDEs, DBP, BBP, DEHP, DIBP content.

Test method:

Lead(Pb) & Cadmium(Cd) Content:

With reference to IEC 62321-5:2013, by acid digestion and analysis was performed by inductively coupled plasma atomic emission spectrometer (ICP-OES)

Mercury(Hg) Content:

With reference to IEC 62321-4:2013+AMD1:2017 CSV, by acid digestion and analysis was performed by inductively coupled plasma atomic emission spectrometer (ICP-OES)

Hexavalent Chromium(Cr(VI)) Content:

With reference to IEC 62321-7-1:2015 or IEC 62321-7-2:2017, by alkaline digestion and analysis was performed by UV-visible spectrophotometer (UV-Vis)

PBBs & PBDEs Content:

With reference to IEC 62321-6:2015, by solvent extraction and analysis was performed by gas chromatographic-mass spectrometer (GC-MS)

BBP DBP DEHP & DIBP Content:

With reference to IEC 62321-8:2017, by solvent extraction and analysis was performed by gas chromatographic-mass spectrometer (GC-MS)

1) The test results of Lead (Pb) and Cadmium (Cd)

Item	11	MDI	Results	
	Unit	MDL	(54)	Limit
Cadmium Content (Cd)	mg/kg	5	N.D.	100







Report No.: LCS201014048AR

Date: 2020.12.02

Page 7 of 14

Note:

- MDL = Method Detection Limit
- /= Not apply
- LOQ = Limit of Quantification, The LOQ of Hexavalent chromium is 0.10 μg/cm²
- a. The sample is positive for Cr(VI) if the Cr(VI) concentration is greater than 0.13ug/cm². The sample coating is considered to contain Cr(VI)
 b. The sample is negative for Cr(VI) if Cr(VI) is N.D.(concentration less than 0.10ug/cm²). The sample coating is considered a non- Cr(VI) based coating
 c. The result between 0.10µg/cm² and 0.13µg/cm² is considered to be inconclusive, unavoidable coating variations may influence the determination
- Information on storage conditions and production date of the tested samples is unavailable and thus Cr(VI) results represent status of the sample at the time of testing
- mg/kg = ppm=parts per million
- N.D.=Not Detected(<MDL or LOQ)
- #1 According to RoHS directive 2011/65/EU and its amendments, Lead is exempted in glass of cathode ray tubes, electronic components and fluorescent tubes.
- #2 According to RoHS directive 2011/65/EU and its amendments, Lead is exempted in electronic ceramic parts (e.g. piezoelectronic devices).
- #3 According to RoHS directive 2011/65/EU and its amendments, Lead is exempted as an alloying element in Copper containing up to 4% (40000ppm) by weight.
- #4 According to RoHS directive 2011/65/EU and its amendments, Lead is exempted in high melting temperature type solders (i.e. lead-based alloys containing 85 % by weight or more lead).
- #5 According to the statement provided by the customer, according to RoHS directive 2011/65/EU and its amendments, Lead is exempted as an alloying element in Aluminum containing up to 0.4% (4000ppm) by weight.
- #6 According to the statement provided by the customer, according to RoHS directive 2011/65/EU and its amendments, Cadmium and its compounds in electrical contact is exempted.
- #7 According to the statement provided by the customer, according to RoHS directive 2011/65/EU and its Amendments, Lead is exempted in steel for machining purposes and in galvanised steel containing up to 0.35% (3500ppm) by weight.

- Flow chart appendix is included.
- Photo appendix is included.

This report changes the applicant, the applicant's address and the trademark, model, and the rest are consistent with the report LCS201014050AR









Date: 2020.12.02

Page 8 of 14

2) The test results of DBP、BBP、DEHP & DIBP

ltom	Unit	MDL	Results	1 : :4	
Item			1+2+4+5+3+9	— Limit	
Dibuyl Phthalate(DBP)	mg/kg	600	N.D.	1000	
Benzylbutyl Phthalate(BBP)	mg/kg	600	N.D.	1000	
Di-(2-ethylhexyl) Phthalate(DEHP)	mg/kg	600	N.D.	1000	
Diispbutyl phthalate(DIBP)	mg/kg	600	N.D.	1000	

ltem	Unit MDL –		Results	Limit	
		WIDE	11+12+14+20+21+23		
Dibuyl Phthalate(DBP)	mg/kg	600	N.D.	1000	
Benzylbutyl Phthalate(BBP)	mg/kg	600	N.D.	1000	
Di-(2-ethylhexyl) Phthalate(DEHP)	mg/kg	600	N.D.	1000	
Diispbutyl phthalate(DIBP)	mg/kg	600	N.D.	1000	

ltem	Unit MDL		Results	Limit
		25+27+28+29+31+32	G	
Dibuyl Phthalate(DBP)	mg/kg	600	N.D.	1000
Benzylbutyl Phthalate(BBP)	mg/kg	600	N.D.	1000
Di-(2-ethylhexyl) Phthalate(DEHP)	mg/kg	600	N.D.	1000
Diispbutyl phthalate(DIBP)	mg/kg	600	N.D.	1000

Item	Unit MDL		Results	Limit
			34+36+41+42+43+45	
Dibuyl Phthalate(DBP)	mg/kg	600	N.D.	1000
Benzylbutyl Phthalate(BBP)	mg/kg	600	N.D.	1000
Di-(2-ethylhexyl) Phthalate(DEHP)	mg/kg	600	N.D.	1000
Diispbutyl phthalate(DIBP)	mg/kg	600	N.D.	1000







Date: 2020.12.02

Page 9 of 14

Item	Unit	MDI	Results	Limit
	Unit	MDL	46+47+48+49+50+51+53	
Dibuyl Phthalate(DBP)	mg/kg	600	N.D.	1000
Benzylbutyl Phthalate(BBP)	mg/kg	600	N.D.	1000
Di-(2-ethylhexyl) Phthalate(DEHP)	mg/kg	600	N.D.	1000
Diispbutyl phthalate(DIBP)	mg/kg	600	N.D.	1000

ltom .	Unit M	MDI	Q			
ltem		MDL	16	17	18	Limit
Dibuyl Phthalate(DBP)	mg/kg	100	N.D.	N.D.	N.D.	1000
Benzylbutyl Phthalate(BBP)	mg/kg	100	N.D.	N.D.	N.D.	1000
Di-(2-ethylhexyl) Phthalate(DEHP)	mg/kg	100	N.D.	N.D.	N.D.	1000
Diispbutyl phthalate(DIBP)	mg/kg	100	N.D.	N.D.	N.D.	1000
(.65)			6	693.	(3	63.

Remark:

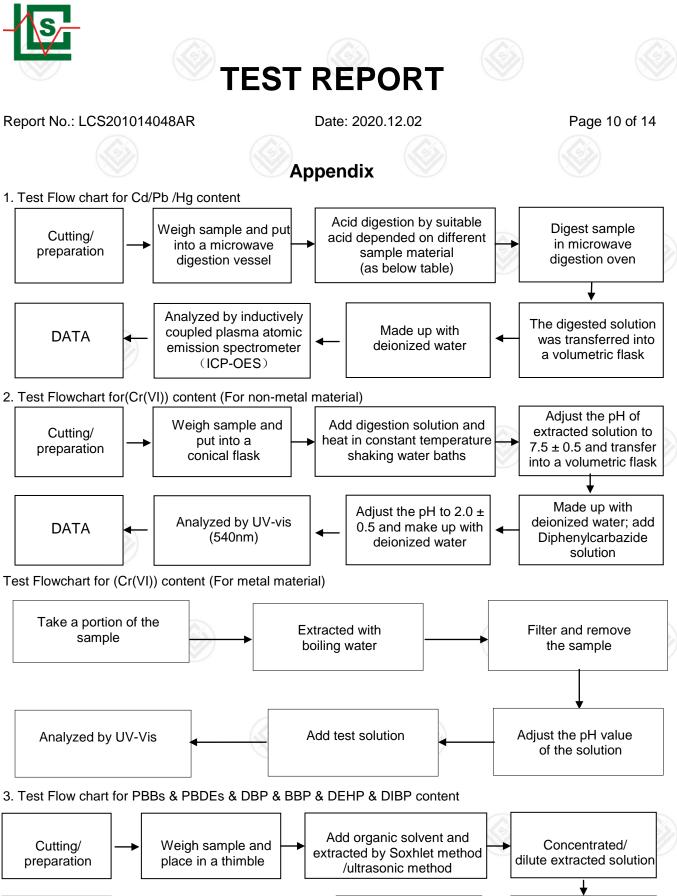
- mg/kg = ppm

- N.D. = Not detected

MDL=Method detected limited

- Flow chart appendix is included
- Photo appendix is included.





Data Analyzed by GC-MS

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Cool, cleanup solution

Concentrated extracted

solution



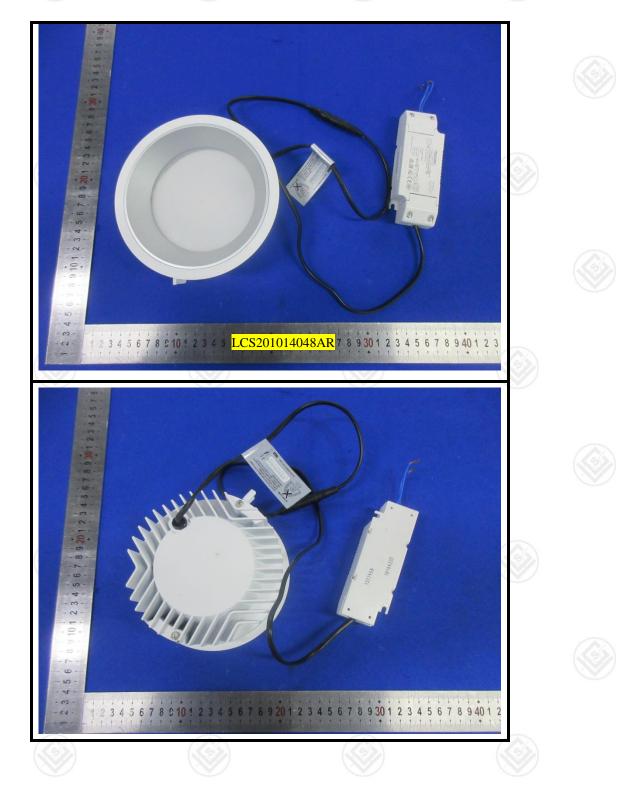




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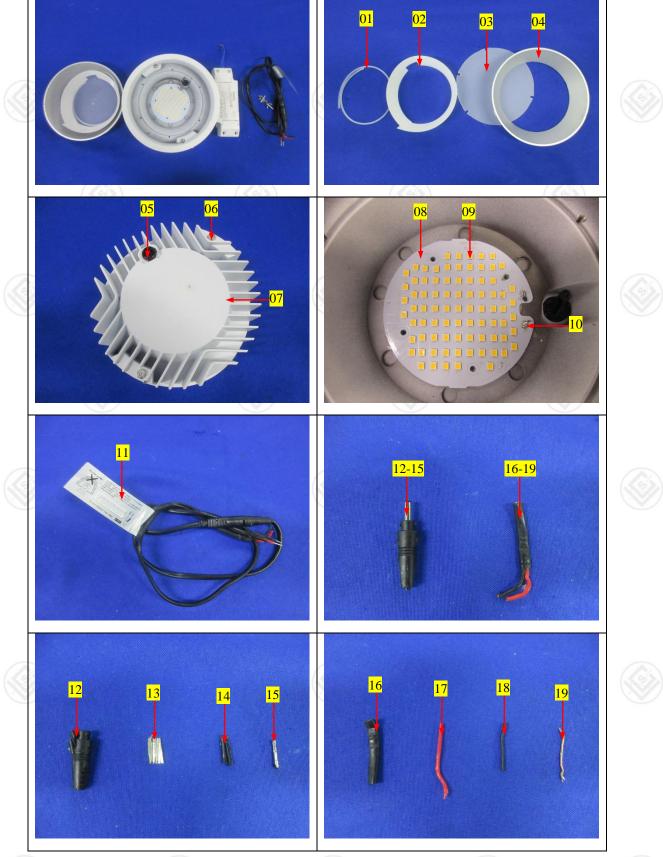
Page 11 of 14

The photo of the sample



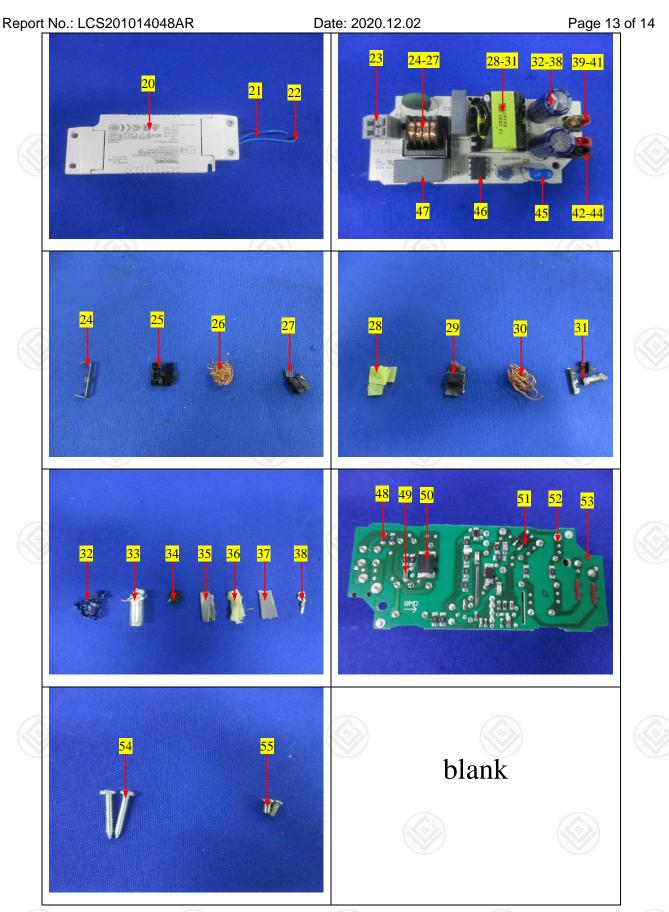
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Report No.: LCS201014048AR

Date: 2020.12.02

******* End of Report **************

Page 14 of 14

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- 2. The result(s) shown in this report refer only to the sample(s) tested.
- 3. Without written approval of LCS, this report can't be reproduced except in full.
- 4. The sample(s) and sample information was/were provided by the client who should be responsible for the authenticity which LCS hasn't verified.
- 5. In case of any discrepancy between the English version and Chinese version of the testing reports(if generated), the Chinese version shall prevail.



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